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# Translation-invariant quantum Markov states (Quantum Analysis in Operator Algebras)

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# Translation-invariant quantum Markov states

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## 1 Introduction

The notion of quantum Markov states was first introduced by Accardi and Frigerio ([1], [3]), and was further discussed from a somewhat different viewpoint by Fannes, Nachtergaele and Werner ([5]). A Markov state by Accardi and Frigerio is defined on a UHF algebra and is determined by an initial state and a family of completely positive quasi-conditional expectations. However, thanks to [2], [3] and also [6], conditional expectations can be used in place of quasi-conditional expectations. Although Accardi and Frigerio defined their Markov states without translation-invariance, we restrict ourselves to translation-invariant ones and clarify their fine structure.

In [4] it was implicitly stated that any translation-invariant Markov state in the sense of [3] is determined by a single conditional expectation (so that it is a  $C^*$ -finitely correlated state in [5]), and an explicit form of translation-invariant Markov states was given. In Section 2 we make the relation between two notions of quantum Markov states more precise and consider the question concerning the commutativity of local density matrices of a Markov state. In Section 3 we see explicit form of quantum Markov states due to [4].

## 2 Characterization of translation-invariant Markov states

Let  $\mathfrak{A}_i = M_d = M_d(\mathbb{C})$ , the  $d \times d$  complex matrix algebra, for  $i \in \mathbb{N}$  and  $\mathfrak{A}$  be the infinite  $C^*$ -tensor product  $\bigotimes_{i=1}^{\infty} \mathfrak{A}_i$ . We denote  $\mathfrak{A}_{\Lambda} = \bigotimes_{n \in \Lambda} \mathfrak{A}_n$  for arbitrary subset  $\Lambda \subset \mathbb{N}$ . The translation  $\gamma$  is the right shift on  $\mathfrak{A}$ . We write  $\phi_{[1,n]}$  for the localization  $\phi|_{\mathfrak{A}_{[1,n]}}$ , and in particular  $\phi_1$  for  $n = 1$ . The following definition is from [3] with a slight modification.

**Definition 2.1** A state  $\phi$  on  $\mathfrak{A}$  is called a (quantum) Markov state if for each  $n \in \mathbb{N}$  there exists a conditional expectation  $E_n$  from  $\mathfrak{A}_{[1,n+1]}$  into  $\mathfrak{A}_{[1,n]}$  such that  $E_n(\mathfrak{A}_{[1,n+1]}) \supset \mathfrak{A}_{[1,n-1]}$  and  $\phi_{[1,n+1]} = \phi_{[1,n]} \circ E_n$ . A Markov state is said to be translation-invariant if  $\phi \circ \gamma = \phi$ .

Although the above definition is a bit different from the original one of Accardi and Frigerio in [3], it is known that both definition are equivalent ([2], [3] and also [6]).

We assume that  $\phi$  is locally faithful, i.e.  $\phi_{[1,n]}$  is faithful for all  $n \in \mathbb{N}$ . The next theorem was implicitly stated in [4]; we here give a proof.

**Theorem 2.2** *Let  $\phi$  be a state on  $\mathfrak{A}$ . Then the following are equivalent.*

- (i)  $\phi$  is a translation-invariant Markov state,
- (ii) There exists a conditional expectation  $E$  from  $M_d \otimes M_d$  into  $M_d$  such that  $\phi_1 \circ E(I \otimes A) = \phi_1(A)$  for all  $A \in M_d$  and

$$\phi(A_1 \otimes \cdots \otimes A_n) = \phi_1(E(A_1 \otimes E(A_2 \otimes \cdots \otimes E(A_{n-1} \otimes A_n) \cdots)))$$

for all  $A_1, \dots, A_n \in M_d$ .

**Proof.** (ii)  $\Rightarrow$  (i). Assume (ii), and define conditional expectation  $E_n : \mathfrak{A}_{[1,n+1]} \rightarrow \mathfrak{A}_{[1,n]}$ ,  $n \in \mathbb{N}$ , by

$$E_n(X \otimes A) = X \otimes E(A)$$

for  $X \in \mathfrak{A}_{[1,n-1]}$  and  $A \in \mathfrak{A}_{[n,n+1]}$ . Then for  $A_1, \dots, A_n \in M_d$ ,

$$\begin{aligned} \phi(A_1 \otimes \cdots \otimes A_n) &= \phi_1 \circ E(A_1 \otimes E(A_2 \otimes \cdots \otimes E(A_{n-1} \otimes A_n) \cdots)) \\ &= \phi(A_1 \otimes \cdots \otimes A_{n-2} \otimes E(A_{n-1} \otimes A_n)) \\ &= \phi \circ E_{n-1}(A_1 \otimes \cdots \otimes A_n) \end{aligned}$$

and

$$\begin{aligned} \phi(I \otimes A_1 \otimes \cdots \otimes A_n) &= \phi_1 \circ E(I \otimes E(A_1 \otimes E(A_2 \otimes \cdots \otimes E(A_{n-1} \otimes A_n) \cdots)) \\ &= \phi_1 \circ E(A_1 \otimes E(A_2 \otimes \cdots \otimes E(A_{n-1} \otimes A_n) \cdots)) \\ &= \phi(A_1 \otimes \cdots \otimes A_n). \end{aligned}$$

So  $\phi$  is a translation-invariant Markov state.

(i)  $\Rightarrow$  (ii). We fix  $n \in \mathbb{N}$ , and define  $F_{n-1} = \gamma^{-1} \circ E_n \circ \gamma$ . This is well defined. Indeed, for any  $A \in \mathfrak{A}_{[1,n-1]}$  and  $B \in \mathfrak{A}_1$ ,

$$E_n(I \otimes A) \cdot B \otimes I^{\otimes n-1} = E_n(B \otimes A) = B \otimes I^{\otimes n-1} \cdot E_n(I \otimes A).$$

Hence,  $E_n(I \otimes A) \in \mathfrak{A}_{[2,n]}$ . Similarly, we define  $F_i = \gamma^{-(n-i)} \circ E_n \circ \gamma^{n-i}$  ( $1 \leq i \leq n-1$ ). Then for  $1 \leq i \leq n$  and  $A_1, \dots, A_{i+1} \in M_d$ , we have

$$\begin{aligned} F_i(A_1 \otimes \cdots \otimes A_{i+1}) &= (A_1 \otimes \cdots \otimes A_{i-1} \otimes I^{\otimes 2}) \cdot F_i(I^{\otimes i-1} \otimes A_i \otimes A_{i+1}) \\ &= A_1 \otimes \cdots \otimes A_{i-1} \otimes F_1(A_i \otimes A_{i+1}). \end{aligned}$$

Now, let  $\mathfrak{F}_n$  denote the set of all conditional expectations  $F : M_d \otimes M_d \rightarrow M_d$  such that if we define  $F_i(A_1 \otimes \cdots \otimes A_{i+1}) = A_1 \otimes \cdots \otimes A_{i-1} \otimes F(A_i \otimes A_{i+1})$ , for  $A_1, \dots, A_{i+1} \in M_d$ , then  $\phi_{[1,i]} \circ F_i = \phi_{[1,i+1]}$  for each  $1 \leq i \leq n$ . Then the

above argument guarantees the non-emptiness of  $\mathfrak{F}_n$ . Since  $\mathfrak{F}_n$ 's are compact and  $\mathfrak{F}_1 \supset \mathfrak{F}_2 \cdots$ , it follows that  $\bigcap_{n \in \mathbb{N}} \mathfrak{F}_n$  is not empty. Choose  $E \in \bigcap_{n \in \mathbb{N}} \mathfrak{F}_n$  and define

$$E_n(A_1 \otimes \cdots \otimes A_{n+1}) = A_1 \otimes \cdots \otimes A_{n-1} \otimes E(A_n \otimes A_{n+1})$$

for  $A_1, \dots, A_{n+1} \in M_d$ . Then

$$\begin{aligned} \phi(A_1 \otimes \cdots \otimes A_n) &= \phi_1 \circ E_1 \circ \cdots \circ E_n(A_1 \otimes \cdots \otimes A_n) \\ &= \phi_1 \circ E(A_1 \otimes E(A_2 \otimes \cdots \otimes E(A_{n-1} \otimes A_n) \cdots)), \end{aligned}$$

and

$$\phi_1 \circ E(I \otimes A) = \phi(I \otimes A) = \phi_1(A)$$

for  $A \in M_d$ . □

The following definition is from [5].

**Definition 2.3** A state  $\phi$  on  $\mathfrak{A}$  is called a  $C^*$ -finitely correlated state if there exist a finite dimensional  $C^*$ -algebra  $\mathfrak{B}$ , a completely positive map  $E : M_d \otimes \mathfrak{B} \rightarrow \mathfrak{B}$  and a state  $\rho$  on  $\mathfrak{B}$  such that

$$\rho(E(I_d \otimes B)) = \rho(B)$$

for all  $B \in \mathfrak{B}$  and

$$\phi(A_1 \otimes \cdots \otimes A_n) = \rho(E(A_1 \otimes E(A_2 \otimes \cdots \otimes E(A_n \otimes I_{\mathfrak{B}}) \cdots)))$$

for all  $A_1, \dots, A_n \in M_d$ .

Let  $\phi$  be a translation-invariant Markov state, and  $E$  be as in (ii) of Theorem 2.2. We set  $\mathfrak{B} = E(M_d \otimes M_d)$  and  $\hat{E} = E|_{M_d \otimes \mathfrak{B}}$ . Then  $\phi$  is a  $C^*$ -finitely correlated state with a triple  $(\mathfrak{B}, \hat{E}, \phi|_{\mathfrak{B}})$ . Hence any translation-invariant Markov state becomes a  $C^*$ -finitely correlated state.

Now, let  $q_1, \dots, q_k$  be minimal central projections of  $\mathfrak{B}$ , so that  $\mathfrak{B}q_i \cong M_{d_i}$  for some  $d_i \in \mathbb{N}$ . Let  $m_i$  be the multiplicity of  $M_{d_i}$  in  $M_d$ . Then

$$\mathfrak{B} = \bigoplus_{i=1}^k \mathfrak{B}q_i = \bigoplus_{i=1}^k M_{d_i}.$$

Moreover, we set

$$\mathfrak{C} = \bigoplus_{i=1}^k M_{d_i} \otimes M_{m_i}$$

and let  $E_{\mathfrak{C}} : M_d \rightarrow \mathfrak{C}$  be the pinching  $A \mapsto \sum_{i=1}^k q_i A q_i$ .

The next proposition is included in [4].

**Proposition 2.4** *There exist positive linear functionals  $\rho_{ij}$  on  $M_{m_i} \otimes M_{d_j}$  ( $1 \leq i, j \leq k$ ) such that*

$$\hat{E} = \left( \bigoplus_{i,j=1}^k \text{id}_{M_{d_i}} \otimes \rho_{ij} \right) (E_{\mathfrak{C}} \otimes \text{id}_{\mathfrak{B}}).$$

We remark that the unitality of  $\hat{E}$  is equivalent to the condition that  $\bigoplus_{j=1}^k \rho_{ij}$  is a state on  $M_{m_i} \otimes \mathfrak{B}$  for each  $1 \leq i \leq k$ . Furthermore, the condition that  $\phi_1 \circ \hat{E}(I \otimes B) = \phi_1(B)$  for all  $B \in \mathfrak{B}$  is equivalent to the condition that for  $B_j \in M_{d_j}$  ( $1 \leq j \leq k$ ),

$$\sum_{i=1}^k \psi_i(q_i) \rho_{ij}(I_{m_i} \otimes B_j) = \psi_j(B_j), \quad (1)$$

where  $\phi|_{\mathfrak{B}} = \bigoplus_{i=1}^k \psi_i$ . Set  $\pi_{ij} = \rho_{ij}(I_{m_i} \otimes q_j)$  and  $\alpha_i = \psi_i(q_i)$ ; then the equation (1) says

$$\begin{bmatrix} \alpha_1 & \cdots & \alpha_k \end{bmatrix} \begin{bmatrix} \pi_{11} & \cdots & \pi_{1k} \\ \vdots & \ddots & \vdots \\ \pi_{k1} & \cdots & \pi_{kk} \end{bmatrix} = \begin{bmatrix} \alpha_1 & \cdots & \alpha_k \end{bmatrix}.$$

The unitality of  $\hat{E}$  means that the matrix  $[\pi_{ij}]$  is a stochastic matrix. The faithfulness of  $\hat{E}$  guarantees  $\pi_{ij} > 0$  for all  $1 \leq i, j \leq k$ . Hence,  $\{\alpha_i\}$  is uniquely determined by  $\{\pi_{ij}\}$  from the Perron-Frobenius theorem. So, by (1),  $\{\psi_i\}$  is also uniquely determined by  $\{\rho_{ij}\}$ .

By Proposition 2.4, we get the next corollary.

**Corollary 2.5** *Let  $S_i$  and  $T_{ij}$  be the density matrices of  $\psi_i$  and  $\rho_{ij}$ , respectively. Then the density matrix  $\hat{D}_n$  of  $\phi|_{\mathfrak{A}_{[1,n-1]}} \otimes \mathfrak{B}$  is*

$$\bigoplus_{i_1, \dots, i_n} S_{i_1} \otimes T_{i_1 i_2} \otimes \cdots \otimes T_{i_{n-1} i_n}.$$

In the above the density matrix  $\hat{D}_n$  is taken with respect to the usual trace on  $\mathfrak{A}_{[1,n-1]} \otimes \mathfrak{B}$ , i.e. the trace having the value 1 for each rank one projection. If all summands of  $\mathfrak{B}$  are of multiplicity one, then the density in the above corollary is actually the density matrix  $D_n$  of  $\phi_{[1,n]}$ . Hence, the densities  $D_n$  are all commuting in this case (see [6]).

Consider the case  $d = 2$ . Subalgebras  $\mathfrak{B}$  of  $M_2$  are  $M_2$  or  $\mathbb{C} \oplus \mathbb{C}$  or  $\mathbb{C}$ . If  $\mathfrak{B}$  is  $M_2$  or  $\mathbb{C}$ , any translation-invariant Markov state relative to  $\mathfrak{B}$  is a product state. If  $\mathfrak{B}$  is  $\mathbb{C} \oplus \mathbb{C}$ , all summands of  $\mathfrak{B}$  are of multiplicity one. Hence, the density matrices  $D_n$ 's are commuting in this case (see [3]).

The following is the simplest example where the densities  $\{D_n\}$  are non-commuting.

**Example 2.6** We set  $d = 3$  and  $\mathfrak{B} = \mathbb{C} \oplus \mathbb{C} = \mathbb{C}(e_{11} + e_{22}) + \mathbb{C}e_{33}$ , where  $e_{ij}$  ( $1 \leq i, j \leq 3$ ) are the matrix unit of  $M_3$ . Assume that the density matrix of  $\bigoplus_{i,j=1}^2 \rho_{ij}$  is  $T_{11} \oplus T_{12} \oplus T_{21} \oplus T_{22} = A_1 \oplus A_2 \oplus c_1 \oplus c_2 \in M_2 \oplus M_2 \oplus \mathbb{C} \oplus \mathbb{C}$ , where  $A_1, A_2 \in M_2$ ,  $A_1, A_2 \geq 0$ ,  $\text{Tr}(A_1 + A_2) = 1$ , and  $c_1, c_2 \in \mathbb{R}^+$ ,  $c_1 + c_2 = 1$ . We define

$$\psi_1(e_{11} + e_{22}) = \frac{c_1}{c_1 + \text{Tr}(A_2)}, \quad \psi_2(e_{33}) = \frac{\text{Tr}(A_2)}{c_1 + \text{Tr}(A_2)},$$

then it is easily seen that the condition (1) is satisfied. In this case, the density matrix  $D_n$  of  $\mathfrak{A}_{[1,n]}$  is

$$\bigoplus_{i_1, \dots, i_{n-1}} S_{i_1} \otimes T_{i_1 i_2} \otimes \cdots \otimes T_{i_{n-2} i_{n-1}} \otimes (T_{i_{n-1} 1} \otimes (A_1 + A_2) \oplus T_{i_{n-1} 2} \otimes (c_1 + c_2)).$$

So,  $D_n$ 's are non-commuting if so are  $A_1$  and  $A_2$ .

### 3 Disintegration of quantum Markov states

In this section, we survey the explicit form of Markov states due to [4]. Let  $\phi$  be a translation-invariant Markov state as in Section 2. We put  $\Omega_n = \{1, \dots, k\}$ .

$$\Omega = \prod_{n \in \mathbb{N}} \Omega_n$$

and

$$(x_1, x_2, \dots, x_n) = \{(y_1, y_2, \dots) \in \Omega \mid y_i = x_i, 1 \leq i \leq n\}.$$

We define the measure  $\nu$  on  $\Omega$  by

$$\begin{aligned} \nu((x_1, x_2, \dots, x_n)) &= \phi(q_{x_1} \otimes q_{x_2} \otimes \cdots \otimes q_{x_n}) \\ &= \alpha_{x_1} \cdot \prod_{i=1}^{n-1} \pi_{x_i x_{i+1}}. \end{aligned}$$

Then  $\nu$  is a probability measure on  $\Omega$ . For an arbitrary element  $\omega = (\omega_1, \omega_2, \dots) \in \Omega$ , we set

$$\mathfrak{B}_\omega = M_{d_{\omega_1}} \otimes M_{m_{\omega_1}} \otimes M_{d_{\omega_2}} \cdots$$

and the state  $\psi_\omega$  on  $\mathfrak{B}_\omega$  by

$$\psi_\omega = \tilde{\psi}_{\omega_1} \otimes \bigotimes_{i=1}^{\infty} \tilde{\rho}_{\omega_i \omega_{i+1}},$$

where  $\tilde{\psi}_i = \psi_i / \alpha_i$  and  $\tilde{\rho}_{ij} = \rho_{ij} / \pi_{ij}$ . Let  $E_\omega : \mathfrak{A} \rightarrow \mathfrak{B}_\omega$  be a completely positive map defined by

$$E_\omega(A_1 \otimes A_2 \otimes \cdots \otimes A_n) = q_{\omega_1} A_1 q_{\omega_1} \otimes \cdots \otimes q_{\omega_n} A_n q_{\omega_n}$$

for any  $A_1, \dots, A_n \in M_d$ , and  $\phi_\omega = \psi_\omega \circ E_\omega$ .

**Theorem 3.1** Define  $\Omega$ ,  $\nu$  and  $\phi_\omega$  as above. Then

$$\phi = \int_{\Omega} \phi_\omega \nu(d\omega).$$

Proof. If  $\omega, \omega' \in (x_1, \dots, x_n)$ , then

$$\begin{aligned} & \phi_\omega(A_1 \otimes \dots \otimes A_{n-1}) \\ &= \psi_\omega(q_{x_1} A_1 q_{x_1} \otimes \dots \otimes q_{x_n} A_{n-1} q_{x_n}) \\ &= \frac{1}{\nu((x_1, \dots, x_n))} \cdot (\psi_{x_1} \otimes \bigotimes_{i=1}^{n-1} \rho_{x_i x_{i+1}})(q_{x_1} A_1 q_{x_1} \otimes \dots \otimes q_{x_{n-1}} A_{n-1} q_{x_{n-1}}) \\ &= \phi_{\omega'}(A_1 \otimes \dots \otimes A_{n-1}) \end{aligned}$$

for any  $A_1, \dots, A_{n-1} \in M_d$ . Therefore,

$$\begin{aligned} \phi(A_1 \otimes \dots \otimes A_{n-1}) &= \phi \circ E_{\mathcal{C}}(A_1 \otimes \dots \otimes A_{n-1}) \\ &= \sum_{x_1, \dots, x_n} (\psi_{x_1} \otimes \bigotimes_{i=1}^{n-1} \rho_{x_i x_{i+1}})(q_{x_1} A_1 q_{x_1} \otimes \dots \otimes q_{x_{n-1}} A_{n-1} q_{x_{n-1}}) \\ &= \sum_{x_1, \dots, x_n} \nu((x_1, \dots, x_n)) \cdot \phi_{\omega_{(x_1, \dots, x_n)}}(A_1 \otimes \dots \otimes A_{n-1}) \\ &= \int_{\Omega} \phi_\omega(A_1 \otimes \dots \otimes A_{n-1}) \nu(d\omega), \end{aligned}$$

where  $\omega_{(x_1, \dots, x_n)}$  is an arbitrary element in  $(x_1, \dots, x_n)$ . □

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